

Hong Kong Stock

Item	Fees & Charges
Commission	Minimum CNY / HK \$100 Transaction amount below CNY / HK\$250,001 – 0.25% CNY / HK\$250,001 – 500,000 – 0.225%, CNY / HK\$500,001 – 1,000,000 – 0.2% Above CNY / HK\$1,000,000 – 0.18%
Stamp Duty	0.1% of transaction amount (rounded up to the nearest dollar)
SFC Transaction Levy	0.0027% of transaction amount (rounded to the nearest cent)
HKEX Trading fee	0.00565% of transaction amount (rounded to the nearest cent)
FRC Transaction Levy	0.00015% of transaction amount (rounded to the nearest cent)
CCASS Stock Settlement Fee	0.01% of transaction amount, Min HK\$5
Physical Stock Deposit	HK\$5 per transfer deed
Physical Stock Withdrawal	HK\$5 per board/odd lot per stock , Min HK\$50
Lost of Physical Scrip Withdrawal Receipt	HK\$50 per case
Settlement Instruction fee (SI via CCASS)	
- Stock Deposit	Free
- Stock Withdrawal	0.01% on stock market value of previous trading day , Min HK\$500 per each stock (calculated in HK Dollar for Renminbi Denominated Securities)
Investor Settlement Instruction (ISI via CCASS)	
- Stock Deposit	Free
- Stock Withdrawal	HK\$50 handling charge per each stock
Dividend collection	0.5% on dividend amount , Min CNY\$20 / HK\$20 / US\$5.00 + scrip fee CNY/ HK\$2.5 / US\$0.50 per board/odd lot
Rights issue / bonus issue / consolidation / split	Scrip fee CNY / HK\$2.5 per lot + HK Clearing charges
Dividend claim	10% on the dividend amount claimed , Min CNY\$200 / HK\$200 / US\$26, Max CNY\$2500 / HK\$2500 / US\$325 + HK Clearing charges HK\$200
Bonus claim	CNY / HK\$200 + HK Clearing Charges
Cash offer / privatization / unconditional cash offer / open offer & pref. Offer	0.125% of gross consideration, Min CNY / HK \$100, Max CNY / HK \$2500 + Corporate Actions Fee CNY/ HK\$1.20 per board/odd lot
Subscribe rights issue / warrant	\$0.5 per board lot, Min CNY / HK \$30, Max CNY / HK \$300 + Corporate Actions Fee CNY/ HK\$1.20 per board/odd lot
Subscribe excess rights	\$0.5 per board lot, Min CNY / HK \$30, Max CNY / HK \$300
Subscribe covered warrant	\$0.5 per board lot of new subscribed shares, Min CNY / HK \$30, Max CNY / HK \$300 + Corporate Actions Fee CNY/ HK\$1.20 per board/odd lot
Compulsory share buy-back	CNY / HK\$200+ HK Clearing charges
New share application	CNY / HK\$50 per application
General Meeting Enrollment & Voting	HK\$50 per person for each general meeting
Custodian Fee (only apply to margin a/c)	HK\$0.15 per board lot on each stock as at every month end (Min HK\$10, Max HK\$200)
A/C Confirmation	HK\$200
Re-print Statement of Account	HK\$50 per statement for information over 3 months but within 12 months (\$30 per e-statement) , HK\$100 per statement for information over 12 months (\$50 per e-statement)
Stock segregated a/c (w/ CCASS statement)	HK\$50 per month
Stop payment and Re-issue of cheque	HK\$200
Return Cheque Charge	HK\$100 + late settlement charge
Return Fund Administrative Charge	HK\$100
Late settlement charge	Interest rate charged subject to change with notice
Real time price quote	HK\$268 / HK\$368 / HK\$428
Log-in Message Fee (Internet Trade)	HK\$10 per month

(Subject to adjustment without prior notice.)

外國股票 Foreign Stock[#]

項目 Item	星加坡股票 Singapore Shares	
交易佣金 Brokerage	成交金額之0.5% (最低20美元) 0.5% of Transaction Amount, with min USD20	
結算費 Clearing Fee	成交金額之0.0325% (最低10美元) 0.0325% of Transaction Amount, with min USD10	
托管人結算費 SGX SI Fee	每次收取USD0.26 USD0.26 per Transaction	
SGX 行動費 Trading Access Fee	成交金額之0.0075% 0.0075% of Transaction Amount	
收取股息 Dividend Collection Fee	扣除所有手續費後港幣等值之1% (最低港幣50元, 最高港幣300元) 1% on net dividend amount (Min HKD50, Max HKD300) plus handling fee to custodian for confirmation if any	
託管費 Custody Fee	每隻股票每半年港幣100元 HKD100 per counter per half year	
實物股票存入費 Physical Stock Deposit	過戶費 Cancellation Fee	每張股票港幣10元 HKD10 per certificate
	存入費 Deposit Fee	每張轉手紙港幣200元 HKD200 per form of transfer
	轉運費 Courier Charges	每隻股票港幣100元 HKD100 per counter
	手續費 Handling Charges	每隻股票港幣50元 HKD50 per each stock

項目 Item	澳洲股票 Australia Shares
交易佣金 Brokerage	成交金額之0.4% (最低收費45澳元) 0.4% of Transaction Amount (min: AUD 45)
收取股息 Dividend Collection	7澳元 AUD 7
託管費 Custody Fee	0.05% p.a. 澳洲股票持有總值 x 0.05% / 12 (最低收費1澳元) 0.05% p.a. Australia stock portfolio value x 0.05% / 12 (Minimum Fees AUD 1)

項目 Item	美國股票 U.S Shares
交易佣金 Brokerage (NASDAQ)	成交金額之0.25% (低於15,000 美元) 0.25% of Transaction Amount (Below USD 15,000) 成交金額之0.20% (等於或高於15,000 美元) 0.20% of Transaction Amount (Equal or above USD 15,000) 每一單交易最低收費20美元 or Minimum commission USD 20 per order
收取股息 Dividend Collection	成交金額之3% (最低收費8美元, 最高收費80美元) 3% on dividend amount (Min : USD 8, Max USD 80)

[#]以港幣作為交收金額 (根據公司當時釐定之匯率計算)

Settlement is calculated in term of Hong Kong Dollar (Exchange rate subject to current rate terms)

滬港通 / 深港通 Shanghai-Hong Kong Stock Connect / Shenzhen-Hong Kong Stock Connect

項目 Item	交易及服務收費 Fees & Charges
交易佣金 Brokerage	成交金額0.25% (最低收費人民幣100元) 0.25% of Transaction Amount (Min CNY 100)
經手費 Handling Fee	成交金額0.00487% 0.00487% of Transaction amount
證管費 Management Fee	成交金額0.002% 0.002% of Transaction amount
過戶費 (中國結算收取) Transfer Fee (ChinaClear)	成交金額0.001% 0.001% of Transaction amount
過戶費 (中央結算收取) Transfer Fee (CCASS)	成交金額0.002% 0.002% of Transaction amount
賣方交易印花稅 Seller Stamp Duty	只收賣方成交金額0.1% 0.1% of Transaction amount on the seller
組合費 Portfolio Fee	持有股值之0.008% (每日計算, 按月收取) 0.008% of daily stock portfolio value (Accrued daily and collected on a month basis)
收取股息 Dividend Collection	股息總額之0.5% (最低人民幣30元) 0.5% on dividend amount (Minimum CNY 30)

本公司有權將上述收費作出修改, 不另行通知。

(Subject to adjustment without prior notice.)

步基證券有限公司 - 股票期權服務收費表

Po Kay Securities & Shares Co., Ltd - Stock Option Fees & Charges Schedule

2019年11月1日 起生效

Effective from 1 Nov 2019

項目 Item	收費 Fees & Charges
交易佣金 Brokerage	期權金 x 股數 x 0.25% (最低收費為100港元) Premium x share quantity x 0.25% (Min HKD100)
聯交所交易費 Transaction Levy	每手 3港元 或 1 港元 或 0.5 港元 (按期權結算所釐定及代其收取) HKD3 per lot or HKD1 per lot or HKD0.5 per lot (Determined and collected by Options Clearing House)
項目 Item	股票期權行使 / 被行使費用 Stock Option Fees for Exercise / Assign
交易佣金 Brokerage	行使價 x 張數 x 每手股數 x 0.25% (最低100港元) Exercise price x No. of contract x lots size x 0.25% (Min HKD100)
政府印花稅 Stamp Duty	成交每 1,000港元收取1港元 HKD1 per HKD1,000 Transaction Amount
交易徵費 Transaction Levy	成交金額之0.003% 0.003% of Transaction Amount
交易費 Transaction Fee	成交金額之0.005% 0.005% of Transaction Amount
中央結算費 CCASS Settlement Fee	成交金額之0.002% (最低港幣3元, 最高港幣300元) 0.002% of Transaction Amount (Min HKD3, Max HKD300)
行使費 Exercising Fee	每張 港幣2元 HKD2 per lot

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